



PTO/SB/21 (modified) (04-04)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

2

Application Number

09/502,313

Filing Date

2/11/2000

First Named Inventor

Keith Rose

Art Unit

3624

Examiner Name

Campen, Kelly

Attorney Docket Number

1142

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment / Reply



After Final



Affadavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Response to Missing Parts/  
Incomplete ApplicationResponse to Missing Parts  
under 37 CFR 1.52 or 1.53Assignment Papers  
(for an Application)

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

Remarks

After Allowance Communication  
to Technology Center (TC)Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please  
Identify below):

Postcard

**RECEIVED  
OIPE/IAP****OCT 18 2005****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

Charles E. Gotlieb

Signature

Date

October 7, 2005

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Arlington, VA 22313-1450 on the date shown below.

Typed or printed name

Meena Harris

Signature

Date

October 7, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, PO Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



## INNOVATION|PARTNERS

540 University Avenue, Suite 300  
PALO ALTO, CALIFORNIA 94301  
TELEPHONE: (650) 328-0100  
FACSIMILE: (650) 328-0199  
www.i-plaw.com

October 7, 2005

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Re: **U.S. Patent Application Serial Number: 09/502,313**  
Title: METHOD AND APPARATUS FOR RECEIVING A SUBSCRIPTION  
FOR A SECURITY  
Inventor(s): Keith Rose, Karin Riley and Coreen Kaplan  
Filed: February 11, 2000  
Our Reference No.: 10009-1142

More than 24 months have passed since the filing of this application on 2/11/2000. No communication has been received from the Patent and Trademark Office indicating action on this application. Please inform me of the present status of this application.

If you have any questions, please feel free to call me at (650) 328-0100.

Sincerely,

Charles E. Gotlieb

CEG/kaj